

EXTERNAL ACADEMIC AUDIT REPORT – SIES COLLEGE OF ARTS, SCIENCE AND COMMERCE (AUTONOMOUS) 18-19

PRINCIPAL

The Principal welcomed the external academic auditors and shared her thoughts about the vision and reforms undertaken after the college attained autonomy. The principal outlined the format and criteria for the presentation of the activities and achievements of the various departments. The departments were allowed to use PowerPoint or oral presentations.

IQAC

The IQAC co-ordinator presented an excellent PowerPoint presentation outlining the various activities conducted during the year.

The criteria of projects and activities held under Star- DBT, FIST-DST and RUSA were explained. The highlight of the presentation was the Financial Empowerment of the villages of Kawthewadi with projects like apron stitching and creation of manure form compost; ISO accreditation of Internal Auditors.

Suggestions:

- ✓ Introduce credit system for excellent and outstanding students.
- ✓ Activities of College festival and College Gymkhana can also be showcased.
- ✓ Statistics of retention and progression of students to be incorporated.
- ✓ More bridge courses to be introduced to support the weaker students.
- ✓ CCTV to be installed in all examination halls.

PHYSICS

Presentation of departmental activities was very elaborately backed by meticulous and well maintained record of student and faculty achievements, workshops, seminars, conferences, bridge, remedial and add-on courses with the IT and CS departments.

Faculty involved in framing syllabus for other autonomous colleges and as resource persons for Olympiad programmes at HBSCE. Good number of publications in reputed journals. Actively involved in Star DBT activities. Very spacious and well maintained laboratory and dark room.


Suggestions:

- ✓ Could probably involve and engage in more interdepartmental work with Chemistry in the field of Solid State and Nanotechnology.

MATHEMATICS

Excellent presentation by the Head of the Department highlighting the achievements and activities of the department. Highly appreciate the efforts undertaken by the department for remedial classes and participation in Uttkarsha.

Suggestions:


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- ✓ Syllabus needs to be changed in tandem with allied subjects like Physics and Chemistry taken at the FY level and Applied Component at the TY level so as to make it more interesting and challenging.
- ✓ Research work needs to be addressed.

STATISTICS

Excellent presentation of the work of the department highlighting the activities of the faculty and students was done by the Head of the department.

Though the staff of the department was comparatively young, they were very committed to the ethos of the college and the core of the subject.

Interdisciplinary approach of the department with IT and Biochemical Analysis was highly appreciated. Students were encouraged to take the online NPTEL examination, CV writing and develop Statistical software.

Suggestion:

- ✓ More interaction with the departments of Economics and Political Science for market-oriented analysis.

CHEMISTRY

Very good interaction with the faculty of the Chemistry department. The Head of the department highlighted the achievements of the department. Many students participated in NPTEL On-line courses and Avishkar. Excellent social outreach at Kawthewadi and enthusiastic participation in Utkarsh. Faculty involved in syllabus revision, lecture series for Post-graduate and NPTEL courses. Many research publications in reputed journals.

Suggestion:

- ✓ Revamping of the syllabus to make it more balanced and oriented towards competitive examinations and industry.

BIO-CHEMISTRY

The Head of the department presented the departmental activities and achievements. The department offered three units at the TY Level which limited their interactions with other departments. The faculty is involved in conducting post-graduate courses and is embarking on introducing a course in Nutraceuticals in the near future.

Suggestion:

- ✓ Inter-departmental activities under Star DBT programmes can be considered with Chemistry and Biology departments.
- ✓ Good scope of revision of practical syllabus.

BOTANY

Vivid and explicit explanation of the activities and achievements by the Head of the department through a well-designed Power-Point presentation. Meticulous and organised

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arrangement of data pertaining to performance of the staff and students. The USP of the department is the mentoring programme of the students by following their academic and co-curricular progress. Excellent maintenance of Herbarium consisting of different species of flowering plants. The staff is actively involved in syllabus designing. Publications of text books and research work in reputed journals is a regular feature.

Suggestions:

- ✓ A better structured and elaborate record of the progression of the students at the Under-graduate and Post-graduate levels is highly desirable as it helps in recommendations to Higher studies and job opportunities.
- ✓ Sponsorship of the maintenance of the Herbarium could be explored.
- ✓ Inter-disciplinary approach covering DBT Star activity could include Soil Analysis and Herbal Medicine.

ZOOLOGY

Fantastic and excellent Power-Point presentation by Head of the Department encompassing all the activities and achievements of the staff and students was done in a concise manner. Emphasis on pedagogy and Bloom's Taxonomy. Excellent practice of maintaining a Roll of Honour of students and a Tag-Line for the department. Systematic records of the Alumni and their interaction through lecture series. Organised various excursions to reputed National Parks. Conducted value-added courses like a Fishery workshop (with plans to add this as an applied component) and social outreach activities in villages. Actively encouraged in 'Microscope simplified', Avishkar and creation of course materials.

Suggestion:

- ✓ Special credits can be awarded to students involved in Star DBT programmes.

MICRO-BIOLOGY

Spirited and dynamic presentation using PowerPoint by the Head of Department, enlisting the highlights of the department and their areas of focus. The main emphasis of the department is research oriented which is evident in their whole-hearted participation in Aaviskar resulting in accolades and awards in various competitions.

Conducted Health and Blood-grouping camps along with ADMLT during Utkarsh and Kawthewadi. The faculty is involved in framing syllabus and preparing SOPs for practical experiments in Star-DBT programmes.

Suggestions:

- ✓ Special credits can be awarded to students involved in Star DBT programmes.

BIO-TECHNOLOGY

The activities and achievements of the department was showcased by the Head of department through a PowerPoint. The department is actively involved in the field of Oncology and the use of Radiation Therapy, RMC(TMh) under the aegis of ISR. Departmental activities were

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conducted with expertise from BARC, DAE and IWSA. Poster presentation and NPTEL Online Certificate Examinations have been a regular feature of the department.

Suggestion:

- ✓ The faculty can conduct Workshop on Biotechnology NET Preparations.
- ✓ Much more effort required in getting research and publications.

COMPUTER SCIENCE

Well designed PowerPoint presentation by the Head of the department showed the activities and achievements of the department. The staff is actively involved in Post Graduate programmes and along with the IT department, works in an array of Interdisciplinary research projects and NPTEL courses. Conducted a National Conference and Inter-Collegiate Annual Festival along with the IT department. The faculty have been resource persons in various seminars and symposiums. The department offers good placement in reputed organisations with attractive pay packages.

INFORMATION TECHNOLOGY

The head of the department made a presentation of the activities of the department. The Highlight of the department involved development of the software for attendance and examination results. Actively involved in ISR activities in Kawthewadi and Inter-disciplinary NPTEL add-on courses with other departments. Good interaction with alumni and exposure to career guidance lectures. Organised placement interviews on and off the campus. Conducted a National Conference along with the CS department.

Suggestions:

- ✓ Development of app for attendance
- ✓ More inter-disciplinary approach to Science and Humanities like economics, political science and bio-informatics.


OVERALL HIGHLIGHTS

A large number of lecture series, seminars, symposia, workshops and conferences as well as inter-departmental research projects were conducted under the Star DBT programme for the second year.

New initiatives in framing syllabi, conduct of exams, declaration of results and digital record of attendance was introduced after the college became autonomous.

The college has embarked on an ambitious plan for the use of the RUSA Grant. Major revamping of classes and laboratories is being carried out. A state of the art library has been constructed.

NPTEL – IIT Madras and SIES College were jointly involved in conducting a number of online courses and evaluation procedures where the staff and students benefitted from these courses and achieved many awards.


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ISO Certified staff of the college formed the internal audit panel.

Strong social outreach programmes are undertaken by various departments at Kawthewadi.

MOUs and acquisition of research guide status form an integral part of Science departments. Highly dedicated and enthusiastic faculty working under the leadership of the committed and focused Principal and Vice-Principals.

Overall Suggestions:

- ✓ Certain syllabi need to be revamped.
- ✓ Interdisciplinary research should be encouraged.
- ✓ Credit system for online courses, value added courses and Star DBT activities can be incorporated.

Thanking you for the opportunity and wishing you and the institution the very best in the future.




Dr IGNAT A MENDES

Associate Professor, Head – Department of Chemistry

Sophia College (Autonomous)

Mob: 9322590785

Email: ignat_mendes@rediffmail.com


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SIES Collage of Arts, Science &
Commerce (Autonomous)
Sion (West), Mumbai - 400 022.



EXTERNAL ACADEMIC AUDIT REPORT 18-19

College: SIES college of Arts Science and Commerce, Sion West Mumbai

AUDITED BY: N.K.Jyothi Vice Principal Arts Jai Hind College


Date and date: Tuesday 23/4/19

Venue: SIES COLLEGE

Methodology of AUDIT: Face to Face meetings with department members, PPT of 6 slides per department shared by faculty with audit team, perusal of records of syllabus, attendance, records of TT exams, PTA , Achievements , photographs of events, certificates and others

Sequence of events:

- 1) Meeting with the Principal Dr. Uma Shankar
- 2) IQAC presentation
- 3) Visits to departments of humanities and checking the records and viewing presentations
- 4) Visit to exam committee
- 5) Visit to Admission committee
- 6) Hospitality
- 7) Informal feedback with Principal Vice principals and IQAC coordinator
- 8) Report making


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Report :

Event	Basic information	Observations	Remarks	Possibilities
Meeting with principal Dr. Uma Shankar	The principal shared the current changes at SIES namely RUSA Autonomy and informed that the internal audit has been carried out for the academic year 2018 19	There was a defined format for the various departments Various parameters for audit was prepared and files and documentation were available for perusal PPTs had been prepared A time table for the external audit was presented	Systematic and well planned	Gymkhana and cultural could have been included
IQAC meeting	Dr. Phadke the IQAC co ordinator shared the college profile and best practices	The PPT talked about number of courses both academic and professional Best practices were shared Quality initiatives like bio composting, work at , rainbow club to highlight issues of LGBTQ community, Value of the month, UTKARSH and VISION the college fests, social work at village katechiwadi were elaborated	The college is associated with IIT Madras NPTL and students of SIES have received commendations Counselor and scholarships SEAT attend to student support Has conducted a student survey through goggle forms	Website could have been mentioned briefly

Shankar
Principal
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Sion (West), Mumbai - 400 022.



Meeting with English department	The department shared its recent achievement in that they are now a recognised PhD center They shared the work they do from students beyond the classroom like employability / career talks, projects	Students have procured internships which is commendable	Appreciate the new PhD centre Student career guidance has been given importance	Does the department plan to have a magazine/ blog?
Hindi Department	Hindi literature and compulsory Hindi are taught	Syllabus has been modified to make more contemporary by including new age topics and employability	Students have found employment as hindi experts in banks	Does the department do translation work for other agencies
Political science department	Has a PhD centre and asking for more seats for PhD	MOU with Praja Foundation Youth parliament	An applied component on introduction to law	Could a combination with some more subjects be offered at TY level
History department	Single person department	Creative assignments		Archival assignments could be encouraged
Philosophy department	Recognised PhD centre, yoga anubhava and critical thinking have been introduced as courses	Syllabus modification through novel evaluation systems and topics like living ethical issues and indigenous religions	Value Lab is a wonderful working idea Alumni are engaging with current students	
Psychology	Only offers 6 papers at TYBA	Statistics component shifted to practical	Dept log book appreciated	Dedicated laboratory for psychology
Commerce	GST introduced as a topic Tally course as a value course	Work with many first generation learners especially from nearby DHARAVI area which is a challenging task	3 alumni employed in college itself (in office, in visual aid centre and runs the tally course	Phd for teachers Commerce syllabus to be made more industry relevant
Economics	Syllabus includes case study method article reviews trade analysis data 20 % students go for MA Faculty very young	Lecture series and newsletter	Remedial teaching for atkt students and quantitative papers is appreciated	Emphasis on behavioural economics and eco stats

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BMM	Major reliance on visiting faculty Extensive records and files Has 3 dslr cameras, a dark room and a library within the department	Alumni linkages Faculty has written text books Faculty serves as consultants In house media servicing for other department events	Information on progression of students shared with various professions like AD , director , actress and others Peer teaching is appreciable Bridge course on economics is appreciable	Phd for faculty
BMS	All faculty joined this year since old faculty had moved on	Scholastic avenues for BMS students provided at Department level	13 placements this year	Should look at retention for department
Exam cell	Self sufficient cell Print the marksheets in house 20 member team and 4 member core team	Grade cards also reflect marks Re orienting students to become more responsible with respect to notices and information No Reval below 12 marks on 60	Results within 45 days Roll no has year mentioned Security camera at important locations of exam cell	Looking at more space for security reasons
Admission committee	Following required norms and university guidelines Separate teams of teachers for arts science commerce	105 students from out of state No entrance tests In house almost 40% in science and arts	Retained sc st obc quota Online process of admission for sy ty	Cutoffs could have been shared in data presented

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Overall Achievements :

Star Dbt Year 2

Autonomy

RUSA Grant

IIT MADRAS NPTL Centre With Meritorious Performance

3 Phd Research Centres In Humanities

In House Result Processing

Village adoption

Innovative and market friendly courses other than regular courses

Major revamp of infrastructure to maximise space

ISO certification and faculty trained in ISO certification

Strong paper work trail

Very positive and enthusiastic faculty, VPS and Principal

Overall Suggestions:

College to Project research initiatives more rigorously

MA if feasible

Overall integrated ERP to manage admission to graduation

All the very best and thank you for this opportunity

Regards

N K Jyothi

Associate Professor Psychology & Vice Principal Arts


Jai Hind college

jyothi.nk@jaihindcollege.edu.in

9892338615

022 -22040256





Principal
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ACADEMIC AUDIT REPORT FOR THE YEAR- 2019-20 and 20-21

Part – A: Institutional Profile:

Institutional Data		
1.	Name of the college	SIES College of Arts Science & Commerce (Autonomous) Sion West, Mumbai 400022
2.	Financial Category	Grant-in-aid / Self-financing college
3.	Type of the college	Co-education
4.	Year of establishment	1960 Permanently affiliated to University of Mumbai
5.	UGC recognition	2 (f) and 12B
6.	Accreditation/Re-accreditation by NAAC	Accreditation- First cycle -Five Star in 2005, Second Cycle , Grade- A - CGPA – 3.14 in 2010, Third Cycle Grade A - CGPA 3.51 in 2015 and is valid till 2022
7.	Autonomous status	UGC conferred in June 2018
8.	Location of the college	Urban
9.	Special features of the college.	<ul style="list-style-type: none"> • Minority Institution. • Excellent results at UG and PG level. • ISO certification
10	Date of Video Conferencing with committee	9 th & 10 th April 2021
10	Number of courses	UG- BA, BSc, BCom, BMS, BMMC, Bsc IT, CS & BT PG- MSc – Physics, Chemistry, Biochemistry, Botany, Zoology, Microbiology, CS, IT , Bio Technology MA by research (philosophy) Phd – Arts 3 and Science -3 1. MSc Bio analytical 2019-20 2. MSc Data Science 2020-21 3. BMS Capital Markets 2020-21
11	Number of students admitted at UG, PG and Ph.D. (2020-21)	UG- aided – 2212 (19-20) 2369(20-21) UG SFC - 1171 (19-20) 1330 (20-21) PG aided 58 (19-20) 60 (20-21) PhD 10 (19-20) 5 (20-21)


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Part-B: Report of the Committee

1. General Observations


- After having attained autonomy, marked strides towards quality development are visible
- Infrastructural facilities upgraded to enhance teaching-learning experience
- Research facilities enhanced
- Number of MoUs signed to develop linkages with the industry
- Entrepreneurship cell has been set up to boost entrepreneurial initiatives in the form of startups by the students
- New courses introduced keeping in mind the current requirements
- Number of skill development courses introduced
- Additional credits introduced

2. Commendations:

- Efforts taken towards making the curriculum relevant and contemporary
- Syllabus revised to suit the needs and demands of the industry
- Teaching competency of the faculty is appreciated for their ability, care and concern shown for the students during the pandemic
- Focus on developing research potential of the students by way of encouraging them to participate in research conventions, present papers and involve themselves with research projects on social issues
- Considerable number of awards and accolades won by students by way of participation at intercollegiate events
- Representation at Republic Day Parade
- Student progression towards joining the Indian Army is commendable
- Number of minor research projects undertaken by the faculty
- 3 patents filed
- Publications in national and international peer reviewed journals
- Organized a number of seminars, workshops and training programs for faculty and student enrichment
- Value education is made an integral part of education
- Focus on holistic development of students
- Internships encouraged
- Placement cell is active
- Student Progression is tracked
- Adequate ISR activities undertaken
- Adequate efforts taken to tap the alumni for student development

3. Recommendations:

- Scope for increasing the number of major/minor research projects


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- Student Internships though encouraged by a number of departments, can be made compulsory for all students
- Best practices at the departmental level can be highlighted

Davinder Bhasin

Dr. Davinder Kaur Bhasin
Vice Principal,
G.N. Khalsa College,
Matunga – 400 019
April 10, 2021

Davinder
Principal
SIES College of Arts, Science &
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SIES COLLEGE ACADEMIC AUDIT REPORT
PART B: Report of the Committee

1. General observations:

The entire process of teaching learning and evaluation is at the heart of any education institution and the college has been doing it successfully for a very long time. This effort seems to have further strengthened under the autonomy as evident from the proceedings of the meeting. The opening presentation made by the Principal in a very lucid and comprehensive manner highlighted the work done by the college in every direction. The presentation made by the IQAC coordinator explained the successful handling of the challenges of pandemic period in ensuring quality teaching, learning and evaluation by acknowledging the commendable role played by each and every teacher. The presentations made by the individual departments showcased the academic engagements and achievements of their teachers and students, especially during the pandemic suggesting that every one treated it as an 'opportunity' to learn something new than making it as an 'excuse' for not innovating. The achievements of the students not only in academics but also otherwise through the rotaract activities, community service engagements and creation of innovation & skill hub suggest that the institute is not just engaging the students but happily engaging students in the process of learning.

2. Commendations:


- a. The opening presentation by the Head of the institution in the form of capsulated NAAC criteria was an excellent idea.
- b. The opening presentation by the Head of the institute endorsed the progressive leadership that the institution is having and clearly highlighted the 'student centric' and


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'teacher oriented' teaching, learning and evaluation happening on the campus.

- c. Initiative of College IQAC in organizing AQAR Data Management Workshop for all teachers and not just IQAC members was a well conceived idea for the channelization of the thought processes and generating the sense of belongingness among all the teachers.
- d. Feedback analysis and the action taken report displaying on the college website showed the transparency in the functioning of college IQAC.
- e. Small size consultancy with some departments was a good beginning in bridging the gap between the industry and academia. Also, having a teaching faculty becoming the winner of the young scientist award in one of the most popular and student favorite science subject of chemistry can be the best motivation in nurturing young inquisitive minds.
- f. Departmental presentations were all concise and prepared meticulously.
- g. Efforts taken by the teachers of almost all of the self financing courses in organizing more than one skill based training sessions for their final year students to make them job-ready prior to leaving the campus was appreciable.
- h. A student being able to file a patent, teachers being successful in receiving RUSA grants that fulfills their research aspirations, a teacher receiving young scientist award, research work by students and teachers being recognized by their own parent University in its Research Convention *Aavishakar* etc., serve as

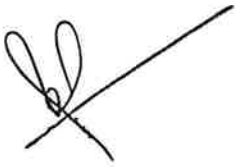

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a true testimony of the fact that these departments are self sufficient in converting a small desk level research into the technology transfer. This is undoubtedly impressive and applaudable.

3. Recommendations:

- a. Formalization of the process of additional credits for the skill based components and further strengthening the process of credit transfer for the value added courses would match the UGC quality mandate for the teaching, learning and evaluation.



Dr Himanshu Dawda
I/C Principal, R J College





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ACADEMIC AUDIT REPORT FOR THE YEARS- 2021-22, 2022-23, & 2023-24

Part – A: Institutional Profile:

Institutional Data		
1.	Name of the college	SIES COLLEGE OF ARTS, SCIENCE & COMMERCE (EMPOWERED AUTONOMOUS)
2.	Financial Category	Grant-in-aid / Self-financing college
3.	Type of the college	Co-education
4.	Year of establishment	1960 Permanently affiliated to University of Mumbai
5.	UGC recognition	2 (f) (1989) and 12B – (2009)
6.	Accreditation/Re-accreditation by NAAC	First cycle- B++ (80.15) in Jan 2004, Second Cycle, Grade- A- CGPA – 3.14 in March 2010, Third Cycle Grade A - CGPA 3.51 in May 2015 Fourth cycle IIQA submitted
7.	Location of the college	Urban
8.	Special features of the college	<ul style="list-style-type: none"> • Minority Institution. • Excellent results at UG and PG level. • Autonomous status conferred in 2018 • 11 PhD centers / 32 pursuing • DBT-STAR, FIST & RUSA beneficiary • Nirmitee Kendra • State of Art Library • Prajnaya Vision Centre
9	Date of visit of the committee	26 th April 2024
10	Number of courses/ programs 2021-22	No of Programmes : 49 No of Value-added courses:17
	2022-23	No of Programmes : 52 No of Value-added courses:42
	23-24	No of Programmes : 52 No of Value-added courses:42
11	Number of students admitted at UG, PG and (2021-22)	UG-, 4129 PG - , 150 Ph.D. 24
	2022-23	UG-, 3946 PG - , 170 Ph.D. 28
	2023-24	UG-, 3718 PG - , 170 Ph.D. 32


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Part-B: Report of the Committee:

The main objective of the audit was to review the curriculum and teaching methods, department activities, examination and promotion rules and the functioning of Internal Quality Assurance Cell (IQAC). The additional objectives were to recognize the areas of strengths and suggest ways to enhance overall institutional effectiveness.

1. General Observations:


- The enthusiastic staff members indicate transparency and openness in the academic and administrative processes.
- Young departments and committed teaching and non-teaching faculty.
- The vision of the College is translated into several courses offered by the College.
- Academy Autonomy is well utilized by the college to frame curriculum by involving external members as per the guidelines of UGC.
- Existing infrastructure is well utilized.
- ICT facilities are well-maintained and updated.
- A lot of experiential learning activities are conducted by the departments. Students take part in seminars and project work
- Many departments are contributing to research.
- Outreach activities are very well integrated in the curriculum.
- Remedial coaching is offered by several departments.
- The College has successfully conducted a Green and Energy Audit.
- Complete NEP compliance at first year levels of UG programmes
- With the expansion and addition of new courses, more space is required. There is limited scope of expansion on the current campus.
- The composition and activities of IQAC are as per the guidelines of the autonomy.
- The Examination calendar and the evaluation pattern including the rules and regulations of promotion are as per the guidelines of the autonomy.


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2. Commendations:

- Optimum utilization of the infrastructure despite various constraints.
- The College has a state-of-the-art library facility with an inclusion center.
- Workshops and Seminars are organized by the departments.
- College has 11 Ph.D. centers where 32 students are pursuing Ph.D.
- Value-added courses are offered by the various departments.
- The grants (DST, RUSA etc.) are utilized to enhance the activities and infrastructure of the College.
- 07 patents filed by the Chemistry department is a commendable achievement.
- Faculty members have published papers in National and International Journals.
- Environment consciousness is visible in the activities undertaken by the College.
- Student-centric approach to teaching
- A variety of innovative pedagogical tools are being utilized.
- Departments' affiliation and commitment to the vision and mission of college is commendable.
- Infrastructural highlights: Strategically designed resourceful library.
- Up to date computer labs, Enviably audio-visual room
- Participation of students in college and departmental activities is commendable.
- The examination and assessment mechanisms are designed and deployed with precision and care. The examination calendar and the processes are transparent and professionally executed.


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3. Recommendations:


- Need more clarity on the feedback mechanism. Feedback mechanism to be strengthened for more involvement of the stakeholders and quality improvement.
- Strengthening of research through quality major research projects from UGC, CSIR etc.
- Enhancing Inclusion on Campus.
- Academia and industry connect could be augmented by strategic planning,
- Research culture can be given a boost, the number of publications could be increased.
- More collaborations with industry and with other universities could be developed.
- Number of extension and outreach activities could be augmented.
- IQAC could increase the number of initiatives for quality checks and improvement.



Dr. Anita Kanwar



Dr. Anagha Tendulkar Patil


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